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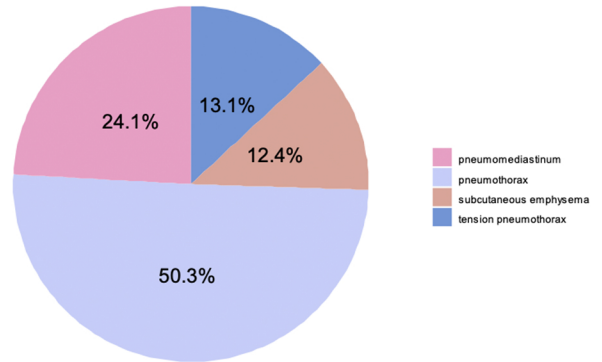
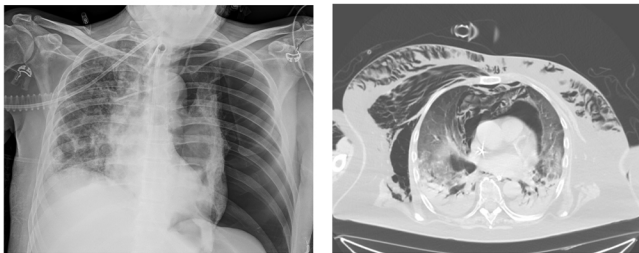
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## INTRODUCTION

Barotrauma is a feared complication of invasive mechanical ventilation.

The incidence of barotrauma in patients with the coronavirus disease 2019 (COVID-19) on invasive mechanical ventilation is poorly defined. In two small observational studies, it was 12/202 (5.9%) and 7/73 (9.6%). This survey aimed to describe the incidence and risk factors of barotrauma in a large group of patients with COVID-19 treated with invasive mechanical ventilation during our local outbreak (in Lombardy, Italy).



Different types of barotrauma

Table 1. Ventilatory settings associated with the occurrence of barotrauma.

	COVID-19 (this survey)	Other ARDS (VENTILA group)	Other ARDS (MIMIC-III)	P
N	145	127	64	
Tidal volume (ml/kg IBW)	6.0 (6.0-7.0) N=134	7.6 (6.2-8.5)* N=105	7.1 (5.8-7.9) N=45	<0.001
Positive end-expiratory pressure (cmH <sub>2</sub> O)	13 (12-15) N=137	10 (6-13)* N=120	8 (5-10)* N=64	<0.001
Plateau pressure (cmH <sub>2</sub> O)	26 (24-29) N=113	27 (22-32) N=76	24 (21-29) N=50	0.084
Driving pressure (cmH <sub>2</sub> O)	13 (10-16) N=113	18 (13-22)* N=76	16 (12-19)* N=50	<0.001
With plateau pressure >35 cmH <sub>2</sub> O – n	2/113 (2%)	7/76 (9%)	5/50 (10%)	0.038
With driving pressure >15 cmH <sub>2</sub> O – n	30/113 (27%)	46/76* (61%)	25/50* (50%)	<0.001
With tidal volume >8 ml/kg IBW or plateau pressure >30 cmH <sub>2</sub> O – n	12/134 (9%)	53/113* (47%)	18/58* (31%)	<0.001

## METHODS

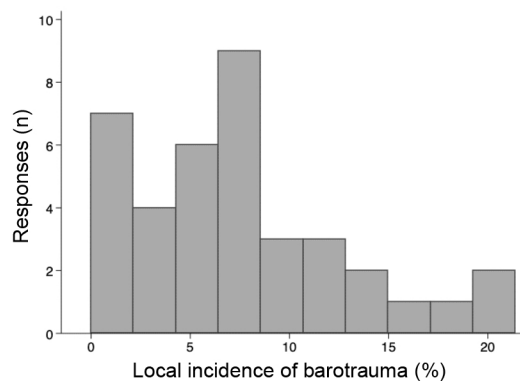
This open, voluntary survey consisted of multiple-choice and open-ended questions prepared with Google Forms. It was disseminated via e-mail to the Directors of the 61 hospitals of the COVID-19 Lombardy Intensive Care Unit (ICU) Network, on March 27th, 2020. Following two reminders, the web-based data entry was closed on May 2nd, 2020.

We compared our results with two other datasets of patients with classic ARDS:

- studies conducted by the VENTILA group from 1998 to 2016
- latest version of the Medical Information Mart for Intensive Care (MIMIC)-III from <https://mimic.physionet.org>.

## RESULTS

The incidence of barotrauma in patients with COVID-19 enrolled in this survey was 7.1% (95%-CI: 6.1-8.3%). This value is probably higher than that in patients without COVID-19. In the two large datasets used for comparison, that collectively enrolled 4094 patients without COVID-19, the incidence of barotrauma ranged from 4.0% to 5.1%.



Herein we show the incidence of de-novo pneumothorax, tension pneumothorax, pneumomediastinum or emphysema in patients with COVID-19 on invasive mechanical ventilation reported by the 38 respondents to the survey.

Herein we compare the ventilatory settings associated with the occurrence of barotrauma during invasive mechanical ventilation in three different datasets:

- (1) patients with acute respiratory failure due to COVID-19 (our present survey);
- (2) patients with moderate to severe acute respiratory distress syndrome (ARDS) (VENTILA group);
- (3) patients with pneumonia or ARDS, with a PaO<sub>2</sub>/FiO<sub>2</sub> <200 mmHg (MIMIC-III).

**On average, patients with COVID-19 developed barotrauma with lower tidal volume and driving airway pressure but higher PEEP.**

Although PEEP may have contributed to barotrauma in some patients with COVID-19, it does not seem to explain our results fully.

P-values refer to the Kruskal-Wallis test and Chi squared test (with Yate's correction for small sample size).

\*Adjusted p<0.05 vs. COVID-19.

## CONCLUSIONS

In conclusion, patients with moderate or severe ARDS due to COVID-19 may be at high risk for barotrauma during invasive mechanical ventilation. Most of the reported complications occurred with ventilatory settings usually considered lung protective.